

03-31-04  
PART B - FEE(S) TRANSMITTALComplete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
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7590

02/09/2004

Morgan & Finnegan  
345 Park Avenue  
New York, NY 10154

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)

(Signature)

(Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

09/890,321

10/12/2001

Akira Ozazaki

1776/4066

9517

TITLE OF INVENTION: LOWLY LACTONE-MODIFIED REACTIVE MONOMER COMPOSITION, ACRYLIC POLYOL RESINS PRODUCED WITH THE SAME, CURABLE RESIN COMPOSITIONS AND COATING COMPOSITIONS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|-----------|-----------------|------------------|----------|
|-------------|--------------|-----------|-----------------|------------------|----------|

nonprovisional

NO

\$1330

\$300

\$1630

05/10/2004

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

HAMPTON HIGHTOWER, PATRICIA

1711

528-310000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Morgan &amp; Finnegan, LLP

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Daicel Chemical Industries, Ltd.

1, Teppocho, Sakai-Shi, Osaka 590-0905, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent);

☐ individual ☒ corporation or other private group entity ☐ government

## 4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☒ Advance Order - # of Copies

6

## 4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-4300 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Reg. No. 54,084 3/29/04

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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04/01/2004 HUUONG2 00000126 09890321

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18.00 OP

TRANSMIT THIS FORM WITH FEE(S)



Docket No. 1776-4066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)** : Akira Okazaki  
**Serial No.** : 09/890,321  
**Filed** : October 12, 2001  
**For** : LOWLY LACTONE-MODIFIED REACTIVE MONOMER COMPOSITION,  
ACRYLIC POLYOL RESINS PRODUCED WITH THE SAME, CURBALE  
RESIN COMPOSITIONS AND COATING COMPOSITIONS  
**Group Art Unit** : 1711  
**Examiner** : Patricia H. Hightower  
**Notice of Allowance:** February 9, 2004  
**Confirmation No.:** 9517

EXPRESS MAIL CERTIFICATE

**Express Mail Label No.:** EV 245 496 126US  
**Date of Deposit:** March 29, 2004

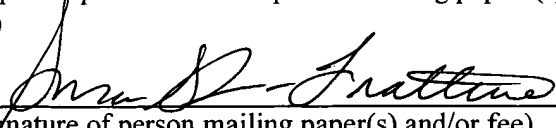
I hereby certify that the following attached paper(s) and/or fee

1. Issue Fee Transmittal;
2. Part B – Fees Transmittal (in duplicate);
3. Check of \$1,648.00;
4. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop: Issue Fee, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313

Susan Shen-Frattura

(Typed or printed name of person mailing papers(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

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PATENT

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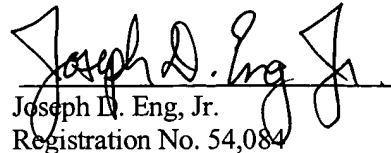
ISSUE FEE TRANSMITTAL

Sir:

Applicant hereby encloses One Thousand Six Hundred Forty Eight Dollars (\$1,648.00) for the following: issue fee due in the above-referenced U.S. patent application (\$1330.00), publication fee (\$300.00) and six (6) soft copies of the patent (\$18.00). Also attached with this Issue Fee Transmittal is Form PTOL-85B in duplicate.

Please charge any additional fees required in connection with this submission to Deposit Account No. 13-4500, Order No. 1776-4066.

Respectfully submitted,  
**MORGAN & FINNEGAN, L.L.P.**

  
Joseph D. Eng, Jr.  
Registration No. 54,084

Dated: March 29, 2004

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